

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	
Pak C. Wong et al.)	Before the Examiner
Application No.: 10/688,063)	Group Art Unit
Filed: October 17, 2003)	2621
DYNAMIC VISUALIZATION OF)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure in accordance with 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the items of information listed on the enclosed Information Disclosure Citation Form. This information has not been previously submitted in this application, and has not been cited previously by the Examiner.

> "Express Mail" Label No. EV 432598382 US Date of Deposit: 8 APRIL 2004 I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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Information Disclosure Statement Inventor: Pak C. Wong et al. Application No. 10/688,063 Filed: October 17, 2003 Our Ref: 50005-149 Page 1 of 2

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, prior art or material to

patentability as defined in §1.56(b).

This Statement is being submitted under 37 CFR 1.97(b) before the occurrence of one or

more of the following: (a) receipt of a First Office Action on the merits, (b) passage of three (3)

months from the application filing date, or passage of three (3) months from the date of entry of

the national stage. Accordingly, it is believed that no fee is due. However, should any fee be

required, please charge such fee to Deposit Account No. 23-3030, but not to include any

payment of issue fees.

Respectfully submitted:

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Information Disclosure Statement Inventor: Pak C. Wong et al. Application No. 10/688,063 Our Ref: 50005-149 Page 2 of 2

WEMMH/SB/08A (4/03)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

	Complete if Known
Application Number	10/688,063
Filing Date	October 17, 2003
First Named Inventor	Pak C. Wong
Group Art Unit	2621
Examiner Name	
Attornev Docket Number	50005-149

Examiner initials*	Cite No.1	Document Number Number-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		US-4,839,853	6/13/1989	Deerwester et al.	
		US-5,175,710	12/29/1992	Hutson	
		US-5,761,685	6/2/1998	Huston	
		US-6,021,215	2/1/2000	Kornblit et al.	
		US-6,070,133	5/30/2000	Brewster et al.	
		US-6,097,399	8/1/2000	Bhatt et al.	,
		US-6,134,555	10/17/2000	Chadha et al.	
		US-6,301,579	10/9/2001	Becker	
		US-6,539,126	3/25/2003	Socolinsky et al.	
		US-6,571,227	5/27/2003	Agrafiotis et al.	
		US-6,615,211	9/2/2003	Beygelzimer et al.	
		US-2001/0049678	12/6/2001	Yaginuma	
		-			

Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Application of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials* No.1	No.¹	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Document		
		PCT – WO 99/34316	July 8, 1999	Glickman et al.		
		PCT - WO 00/39705	July 6, 2000	Glickman et al.		
-						

Examiner Signature	Date Considered	

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ⁵ Kind of document by the apopropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute	Substitute for form 1449B/PTO		Complete if Known			
Substitute			Application Number	10/688,063		
INFORMATION DISCLOSURE			LOSURE	Filing Date	October 17, 2003	
STA	ATEMENT BY	MENT BY APPLICANT		First Named Inventor	Pak C. Wong	
				Group Art Unit	2621	
(use as many sheets as necessary)			ecessary)	Examiner Name		
Sheet	2	of	3	Attorney Docket Number	50005-149	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹						
		Research Report: Visualizing Decision Table Classifiers; Becker (date unknown)					
		MineSet; Website, Silicon Graphics, Inc. (1993-2000)					
		Data Mining; IBM Website (date unknown)					
		<u>Visual Data Mining</u> ; Pak Chung Wong, IEEE Computer Graphics and Applications, September/October (1999)					
		MineSet Business Solutions Using Data Mining and Visualization; www.sgi.com/go/mineset/ (1998)					
		MineSet 2.6; www.sgi.com/go/mineset/ (1998)					
		Clumping Properties of Content-Bearing Words, Bookstein, Klein, Raita, 1998					
		<u>Unsupervised Learing from Dyadic Data</u> ; Hofmann and Puzicha, International Computer Science Institute, December 1998					
		SPIRE-Spatial Paradigm for Information Retrieval and Exploration, Spire website, 1999					
		Website Publication, SPIRE, at URL www.pnl.gov/infoviz/spire, dated at least as early as March 11, 2001.					
1		Evaluating Similarity-Based Visualisations as Interfaces for Image Browsing; Kerry Rodden, Technical Report Number 543, September 2002					
		Eser Kandogan, "Star Coordinates: A Multi-dimensional Visualization Technique with Uniform Treatment of Dimensions", IBM Almaden Research Center, California (Date Unknown).					
	٠	Xqvis: Interactive Data Visualization with Multidimensional Scaling; Buja, Swayne, Littman, Dean and Hoffman, November 29, 2001					
		Animating Multidimensional Scalign to Visualize N-Dimensional Data Sets; Bentley and Ward, IEEE, (1996)					
		30 Years of Multidimensional Multivariate Visualization; Wong and Bergeron (date unknown)					
		<u>Topic Islands – A Wavelet-Based Text Visualization System;</u> Miller, Wong, Brewster, Foote, Pacific Northwest National Laboratory (date unknown)					

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Substitute for form 1449B/PTO			Complete if Known		
		Application Number	10/688,063		
ORMATION	DISC	LOSURE	Filing Date	October 17, 2003	_
STATEMENT BY APPLICANT		First Named Inventor	Pak C. Wong		
			Group Art Unit	2621	
use as many she	ets as n	ecessary)	Examiner Name		
3	of	3	Attorney Docket Number	50005-149	
	ORMATION ATEMENT B	ORMATION DISC ATEMENT BY AP	ORMATION DISCLOSURE ATEMENT BY APPLICANT use as many sheets as necessary)	Application Number Filing Date First Named Inventor Group Art Unit Examiner Name	Application Number 10/688,063 ORMATION DISCLOSURE ATEMENT BY APPLICANT Filling Date October 17, 2003 First Named Inventor Pak C. Wong Group Art Unit 2621 Examiner Name

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher city and/or country where published	1
		Visualizing the Full Spectrum of Document Relationships; Hetzler, Harris, Havre, Whitney, Pacific Northwest National Laboratory (date unknown)	
		Visualizing the Non-Visual: Spatial Analysis and Interaction with Information from Text <u>Documents</u> ; Wise, Thomas, Pennock, Lantrip, Pottier, Schur, Crow, Pacific North Laboratory (date unknown)	
		The STARLIGHT Information Visualization System; Risch, Rex, Dowson, Walters, May, Moon, Pacific Northwest National Laboratory (date unknown)	
		Multi-Faceted Insight Through Interoperable Visual Information Analysis Paradigms; Hetzler, Whitney, Martucci, Thomson, Pacific Northwest National Laboratory (Date unknown)	T
		Human Computer Interaction with Global information Spaces Beyond Data Mining; Thomas, Cook, Crow, Hetzler, May, McQuerry, McVeety, Miller, Nakamura, Nowell, Whitney, Wong; Pacific Northwest National Laboratory (date unknown)	
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